



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Yoshinobu HOTTA, et al.

Application No.:

Group Art Unit:

Filed: December 18, 2001

Examiner:

For: CHARACTER STRING RECOGNITION APPARATUS, CHARACTER STRING  
RECOGNIZING METHOD, AND STORAGE MEDIUM THEREFOR

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copies of IDS citations.
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report.
- 1d. ☒ English language translation (complete or relevant portion(s)) attached to each non-English language publication.
- 1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of each non-English publication.

2. ☒ In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is

(Check appropriate Items 2a, 2b, 2c and/or 2d)

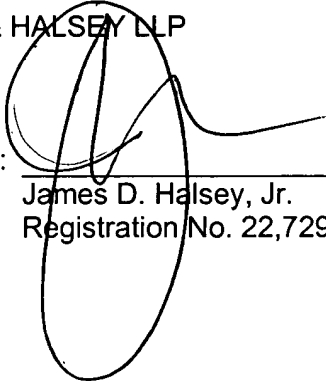
- 2a. ☐ satisfied because all non-English language publications were cited on the enclosed "English-language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, pp. 600-100 to 600-101, Rev. 1, Feb. 2000.)
- 2b. ☐ set forth in the application.

- 2c. ☒ satisfied because an English language translation (complete or relevant portion(s)) is attached to each non-English language publication.
- 2d. ☐ enclosed as Attachment 1(e), hereto.
3. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

Respectfully submitted,

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PRIOR ART INFORMATION LIST

JC927 U.S. PTO  
10/020249  
12/18/01

Your case No.	
Our case No.	2001FJ563-1

Inventor, Patent number, Country, Author, Title, Number of Document	Issue date	Concise Explanation of the Relevance (indication of page, column, line, figure of the relevant portion)
JP-A-11-161740	Jun.18, 1999	Japanese gazette and its English Abstract
JP-A-11-328315	Nov.30, 1999	Japanese gazette and its English Abstract

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

826.1778

APPLICATION NO.

FIRST NAMED INVENTOR

Yoshinobu HOTTA, et al.

FILING DATE

December 18, 2001

GROUP ART UNIT

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

U.S. PTO  
10/020249  
12/18/01

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AG	JP-A-11-161740	6/18/99	Japan			Japanese Gazette and its English Abstract	
	AH	JP-A-11-328315	11/30/99	Japan			Japanese Gazette and its English Abstract	
	AI							
	AJ							
	AK							
	AL							

## OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AM	
	AN	
	AO	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.